

VINATI ORGANICS LTD.			
SEMS INTERNAL AUDIT REPORT			
Department :	PRD	Schedule No.	SEMS- IA/02
Auditee :	RJB	IQA No. :	02
Auditor:	SVL/AGP	Date :	19.11.2011

Sr. No.	Clause	Check Points	Observation	Corrective Action
1.	4.2	SEMS policy	Awareness @ SEMS policy & objectives. Verification: Mr. Dharap – Shift In charge & Field officer – Mr. Gaikwad is aware of SEMS policy & objectives.	+VE
2.	4.3	Planning	All WI are reviewed & revised to incorporate clauses of 14 & 18K Standards. Docs Verified: PR-01/WI-07 Distillation Operation	NA
3.	4.3.1	Hazard identification, risk assessment and determining controls	HIRA & AIR done for all activities & hazards. Docs Verified: HIRA & AIR - PR - Rev 00	NA
4.	4.3.2	Legal and other requirements	All IFC requirements reviewed & implemented. Docs Verified: Ash testing report to meet IFC guide lines.	NA
5.	4.3.3	Objectives and programs	Identified unsafe condition elimination. Docs Verified: VTIF 30 IBH PSV vent diverted outside the shed.	NA
6.	4.4.4	Documentation	All WIs & SOPs reviewed & updated to cover SEMS standards i.e. 14&18K. Docs Verified: PR-01/WI-29 ETP Operation	NA
7.	4.4.6	Operational control	All critical operations are covered under revised WIs. Docs Verified: PR-01/WI-29 ETP Operation	NA
8.	4.5.1	Performance measurement and monitoring	TP-06 Stack emissions periodic inspection done & report is available. Docs Verified: SL/VOL-187/03-11/SM-388A Dtd.6.04.2011.	NA
9.	4.5.2	Evaluation of compliance	Noise level @CPD floor is within statutory norms. Docs Verified: Noise level monitoring report Dtd. 20.08.2011	NA

Auditee	Management Representative	Auditor
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VINATI ORGANICS LTD.			
SEMS INTERNAL AUDIT REPORT			
Department :	S&P	Schedule No.	SEMS- IA/02
Auditee :	RMV	IQA No. :	02
Auditor:	RJB	Date :	19.11.2011

Sr. No.	Clause	Check Points	Observation	Corrective Action
1.	4.3	Planning	Procedure for waste management documented. Docs Verified: Procedure for waste management	NA
2.	4.3.1	Hazard & Aspects identification, risk assessment and determining controls	AIR & HIRA completed as per all identified activities & hazards. Docs Verified: HIRA/ SP & AIR/SP Dtd. 01.01.11	NA
3.	4.3.2	Legal and other requirements	All standard weights are certified by Wts & Measure Dept & stamped. Docs Verified: Cert from Wts & Measure for year 2011. Cert No 328689 Dtd. 11.02.11	NA
4.	4.3.3	Objectives and programs	Waste management objective are defined through Procedure for waste management. Docs Verified: Procedure for waste management	NA
5.	4.4.4	Documentation	WIs for safe unloading of material at stores as per statutory provisions issued. It has closed NC of last audit. Docs Verified: SP/WI/04 Dtd.18.06.11	NA
6.	4.4.6 4.5	Operational control IA & CAPA	Emergency exit provided for NA storage room to avoid risk during abnormal situations. It has closed NC of last audit.	NA
7.	4.5.1	Performance measurement and monitoring	Ash & soot dispatched to brick manufacturers as per coal Ash analysis report. Docs Verified: TCLP Test report for coal ash.	NA
8.	4.5.2	Evaluation of compliance	Coal Ash dispatched as per IFC guide lines to brick manufacturers Docs Verified: TCLP Test report for coal ash.	NA

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VINATI ORGANICS LTD.			
SEMS INTERNAL AUDIT REPORT			
Department :	EHS	Schedule No.	SEMS- IA/02
Auditee :	SVK	IQA No. :	02
Auditor:	RJB	Date :	21.11.2011

Sr. No.	Clause	Check Points	Observation	Corrective Action
1	4.2	OH&S policy	Awareness @ SEMS policy & objectives. Verification: Mr. S B Jadhav – Safety Supervisor is well aware of his responsibilities w.r.t. SEMS policy & objectives.	+ve
2	4.3	Planning	SEMS system implementation plan includes all statutory & other provisions. Docs Verified: Periodic testing & inspection record of fire extinguishers i.e. EHS/F-12 Dtd. 14.10.2011	NA
3	4.3.1	Hazard identification, risk assessment and determining controls	All risks & aspects are identified through HIRA & AIR carried in all Departmental levels. Docs Verified: EHS master file AIR & HIRA.	NA
4	4.3.2	Legal and other requirements	All legal & other requirements identified. Docs Verified: Legal Register P&A/LR/01 Dtd 1.01.11. For applicability of factory act.	NA
5	4.3.3 4.4.2	Objectives and programs Competence, training and awareness	Objective set for year 2011 with periodic progress review. Docs Verified: EHS/PGM/2011 Dtd. 5.07.2011	NA
6	4.4	Implementation and operation	Transport drivers trained for TDS & safety during unloading/ loading activity. Docs Verified: Training record Dtd. 05.09.2011	NA
7	4.4.1	Resources, roles, responsibility, accountability and authority	Procedure for execution of work under work permit system is documented & is under implementation. Docs Verified: work permit issued for new VD welding activity dtd. 19.11.2011.	NA
8	4.4.3	Communication, participation and consultation	Regular meetings of SEMS committee are conducted & MOMs are available. Docs Verified: SEMS committee MOM Dtd. 19.10.2011 .	NA

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VINATI ORGANICS LTD.			
SEMS INTERNAL AUDIT REPORT			
Department :	INST	Schedule No.	SEMS- IA/02
Auditee :	AGP	IQA No. :	01
Auditor:	RMV	Date :	19.11.2011

Sr. No.	Clause	Check Points	Observation	Corrective Action
1.	4.2	SEMS Policy	Awareness @ SEMS policy & objectives. Verification: Mr. S B Shedge – Inst Tech is fully are aware of SEMS policy & objectives applicable to his functioning area.	+VE
2	4.3	Planning	Annual Calibration plan is available & implemented. Docs Verified: Cal Plan IN-01/ML-01& 01A/03. Dtd. 15.5.07	NA
3	4.3.1	Hazard & Aspects identification, risk assessment and determining controls	AIR & HIRA completed. Docs Verified: HIRA/ INST & AIR/INST REV:00 Dtd. 01.01.11	NA
4	4.4.4	Documentation	All WIs & SOPs reviewed to incorporated clauses of SEMS standards i.e. 14&18K. Docs Verified: IN-01/WI-02Management of Cal	NA
5	4.4.6	Operational control	WI for management of Cal is available to eliminate risks & impacts by safe guarding for unauthorized adjustments. Docs Verified: IN-01/WI-02 & Pass word protected DCS Engg station.	NA
6	4.5.4	Control of records	Third party Cal. Testing & inspection of Gas leak monitoring system is completed. Docs Verified: Service & Test Report Dtd. 26.08.2011 By M/s. PPS.	NA
7	4..5	CAPA	90B01 Vent operation automated by providing PIC loop in place of 90HV01 (Manual Loader). Verification: DCS Tag 90PIC01 & Tuning page Data.	NA

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VINATI ORGANICS LTD.			
SEMS INTERNAL AUDIT REPORT			
Department :	QC	Schedule No.	SEMS- IA/02
Auditee :	SKB	IQA No. :	02
Auditor:	VRJ	Date :	20.11.2011

Sr. No.	Clause	Check Points	Observation	Corrective Action
1.	4.2	SEMS Policy	Awareness @ SEMS policy & objectives. Verification: Mr. Nikam is fully aware of SEMS policy & objectives applicable to his functioning area.	+VE
2.	4.3	Planning	Sampling plan is documented & is under implementation. Docs Verified: Sampling & testing plan - QC Lab.	NA
3.	4.3.1	Hazard & Aspects identification, risk assessment and determining controls	AIR & HIRA completed. Docs Verified: HIRA/QC & AIR/QC REV: 01 Dtd. 16.11.2011.	NA
4.	4.3.2	Legal and other requirements	Quarterly water cess returns submitted to MPCB. Docs Verified: Cess submitted on 17.11.2011	NA
5.	4.3.3	Objectives and programs	Implemented a Program to Test Liquids In Open Storm Drains For Presence Of Hydrocarbons/Organic Compounds. Docs Verified: Storm / Drainage water analysis report register entry dtd. 19.11.2011.	NA
6.	4.4.4	Documentation	All WIs & SOPs reviewed to cover SEMS standards i.e. 14&18K. Docs Verified: QC /WI-G-13 For COD analysis.	NA
7.	4.4.6	Operational control	All critical testing operations are done with applicable PPEs & safety guidelines displayed at lab. Verification: Physical Verification of ongoing titration activity done & use of PPEs was as per guidelines.	NA
8.	4.5.1	Performance measurement and monitoring IA / CAPA	Ash & potable water sample testing periodic plan documented to close previous NC. Docs Verified: Ref Doc.: QC/PL-SEMS-01/11 & drinking water test Certificate dated 22.10.2011.	NA
9	4.5.2	Evaluation of compliance	ETPs monthly performance review w.r.t. consent done & records are available. Docs Verified: VOL/MHD/QC/ETP/PER Chart/11 for May 11.	NA

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VINATI ORGANICS LTD.			
SEMS INTERNAL AUDIT REPORT			
Department :	MAINT	Schedule No.	SEMS- IA/02
Auditee :	CKJ/VRJ	IQA No. :	02
Auditor:	AAD	Date :	21.11.2011

Sr. No.	Clause	Check Points	Observation	Corrective Action
1	4.2	SEMS Policy	Awareness @ SEMS policy & objectives. Verification: Mr. Wadkar is fully aware of SEMS policy & objectives applicable to his functioning area.	NA
2	4.3	Planning	Annual PM planning is documented & is under implementation Docs Verified: ML-01/4/1/110700	NA
3	4.3.1	Hazard & Aspects identification, risk assessment and determining controls	AIR & HIRA documented & available. Docs Verified: MAINT/SEMS/HIRA/11 Dtd.1.01.11	NA
4	4.3.2	Legal and other requirements	All legal & other requirements documented. Docs Verified: Annual statutory compliance schedule. ME-PL/LOR/11 & legal register @ P&A Dept.	NA
5	4.3.3	Objectives and programs	Work is in progress to separate domestic & industrial Effluent lines for its independent processing to recycle water to CT & minimize water consumption. Docs Verified: On site work progress	NA
6	4.4.4	Documentation	All WIs & SOPs reviewed & updated to cover SEMS standards i.e. 14&18K. Docs Verified: ME-01/WI-08 Dtd.1.01.11.	NA
7	4.5.1	Performance measurement and monitoring	Third party testing & inspection done of chain pulley block of 1, 2 & 3T each. Docs Verified: testing & inspection report of chain pulley block dated 12.04.2011.	NA
8	4.5.2	Evaluation of compliance	Annual statutory compliance schedule implementation is update. Docs Verified: ME-PL/LOR/11 & legal register @ P&A Dept.	NA

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VINATI ORGANICS LTD.			
SEMS INTERNAL AUDIT REPORT			
Department :	P & A	Schedule No.	SEMS- IA/02
Auditee :	VMD	IQA No. :	02
Auditor:	RMV/RJB	Date :	21.11.2011

Sr. No.	Clause	Check Points	Observation	Corrective Action
1	4.2	SEMS Policy	Awareness @ SEMS policy & objectives. Verification: Mr. Anjarlekar is fully aware of SEMS policy & objectives applicable to his functioning area.	NA
2	4.3	Planning	Training calendar & training need identification done & is under implementation. Docs Verified: Training calendar & training need identification records.	NA
	4.3.1	Hazard & Aspects identification, risk assessment and determining controls	AIR & HIRA completed & its review done W/O changes. Docs Verified: HIRA/PR & AIR/PR REV:01 Dtd. 01.01.11	NA
	4.3.2	Legal and other requirements	MPCB consent renewed up to 2014 & all norms fulfilled. Docs Verified: QC report 1 st shift Dtd. 14.06.11	NA
	4.3.3	Objectives and programs	Objectives & targets related to Training achieved as planned. Docs Verified: Training calendar & training assessment sheets.	NA
	4.4.4	Documentation	All WIs & SOPs reviewed & updated to cover SEMS standards i.e. 14&18K. Docs Verified: PR-01/WI-04 Reactor Operation	NA
	4.4.6	Operational control	Periodical Med check up completed of all employees as planned. Docs Verified: Form No 07 - health Register	NA
	4.5.1	Performance measurement and monitoring	Testing as per SMPV Rules is complied for PSVs of C3 storage Tanks. Docs Verified: C3 storage PSVs testing reports, DT/SMPV-R-18/538.39.40.41 dtd.12.11.2011	NA
	4.5.2	Evaluation of compliance	Periodical evaluation of legal & other compliances done & records are available. Docs Verified: Evaluation records	NA

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VINATI ORGANICS LTD.			
SEMS INTERNAL AUDIT REPORT			
Department :	MR	Schedule No.	SEMS- IA/02
Auditee :	AGT/RJB	IQA No. :	02
Auditor:	VMD/SVK	Date :	22.11.2011

Sr. No.	Clause	Check Points	Observation	Corrective Action
1	4.2	SEMS Policy	SEMS policy is established & communicated to all stake holders.	+VE
2	4.3	Planning	SEMS Manual & Mandatory Procedures are documented in line with applicable standards for implementation of 14&18 K Stds. Docs Verified: SEMS Manual & Mandatory Procedures.	NA
3	4.3.1	Hazard & Aspects identification, risk assessment and determining controls	All risks & aspects are identified through HIRA & AIR carried in all Departmental levels. Docs Verified: EHS master file AIR & HIRA.	NA
4	4.3.2	Legal and other requirements	Identification of Legal and other requirements is as per its mandatory procedure. Docs Verified: Legal Register & its review records @ P&A	NA
5	4.3.3	Objectives and programs	SEMS objectives set for concerned Depts. & periodic review is available. Docs Verified: EHS/PGM/2011 Dtd. 5.10.2011 For - Prevention of land & air pollution which is in line with SEMS policy.	NA
6	4.5.5	Internal audit	Internal audit & NCR completed as per plan. Docs Verified: SEMS- IA/02 Of NOV 2011 Schedule.	NA
9	4.6	Management review	Both half yearly Management reviews done & records available. Docs Verified: MOM of SEMS-MRM-02/11	NA

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